



UNIVERSITY OF WASHINGTON
INTERNATIONAL CLINICAL RESEARCH CENTER
PARTNERS IN PREVENTION

HIV-1 Plasma RNA and Risk of HIV-1 Transmission

Jairam Lingappa

J. Hughes, D. Donnell, J. Baeten, G. Gray, J. Mullins, M. Campbell, M. Essex, C. Farquhar, H. Rees, W. Stevens, A. Wald, L. Corey, C. Celum
for the Partners in Prevention HSV/HIV Transmission Study Team

HIV-1 Transmission and HIV-1 RNA

- Risk of HIV-1 heterosexual transmission is strongly associated with HIV-1 plasma RNA level
 - Rakai, Ugandan HIV-1 serodiscordant couples (n=415):
[Quinn et al 2000]
 - 2.4-fold [95%CI 1.9-3.3] increased risk of HIV-1 transmission per \log_{10} increase in HIV-1 plasma RNA
 - No difference in rates of HIV-1 transmission by gender within strata of HIV-1 RNA
 - Lusaka, Zambian serodiscordant couples (n=1022):
[Fideli et al 2001]
 - 2.5-fold [95%CI 1.5-4.0] increased risk of Male-to-Female transmission transmission per \log_{10} viral RNA
 - 1.8-fold [95%CI 1.2-2.1] increased risk of Female-to-Male transmission transmission per \log_{10} viral RNA



Study goals

- Can an intervention that reduces HIV-1 plasma RNA impact heterosexual transmission of HIV-1?
- What level of reduction in HIV-1 plasma RNA is needed to reduce risk of heterosexual transmission of HIV-1 by 50%?



Study design

- Model HIV-1 plasma RNA and HIV-1 transmission risk data
- Data from Partners in Prevention HSV/HIV Transmission Study - randomized trial of genital herpes (HSV-2) suppression to reduce HIV-1 transmission
 - Epidemiologic studies showing HSV-2 infection increases HIV-1 transmission
 - 5 clinical trials showing HSV-2 suppression w/ acyclovir or valacyclovir given to HIV-1 infected person reduces HIV-1 plasma & genital RNA



Partners in Prevention HSV/HIV Transmission Study

- HIV-1 serodiscordant couples in East and southern Africa
 - Kenya, Rwanda, Tanzania, Uganda, Botswana, S. Africa, Zambia
 - HIV-1 infected partners also HSV-2+ and CD4 \geq 250 cells/mm³
- Both partners followed for \leq 24 months
 - Monthly drug (acyclovir 400 mg bid/placebo) to HIV-1+ partner
 - Quarterly specimen collection from both partners
- Primary Study endpoints
 - Quarterly HIV-1 serostatus in HIV-1 susceptible partners
 - Quarterly HIV-1 plasma RNA for HIV-1+ partners
- Transmission linkage confirmed by viral sequencing
 - HIV-1 *env&gag* regions sequenced from both partners plasma

Characteristics of cohort

- Demographics:
 - 3408 HIV-1 serodiscordant couples enrolled
 - 67% of HIV+ partners were female; 65% \leq 35 yrs old
 - Median partnership duration - 5 yrs
- Behavioral characteristics by report:
 - Reported unprotected sex (no condom use)
 - 29% at baseline; 7% follow-up
 - HIV- partners reported outside partners
 - 5.4% at baseline; 15.5% at 24 mo (no difference by study arm)
- Clinical Characteristics:
 - Median CD4 460 cells/mm³
 - Median HIV-1 plasma RNA 4.2 log₁₀ copies/ml
 - 331 (9.8%) of HIV+ partners initiated ARV during follow-up



HIV-1 transmission events

- 151 HIV-1 transmissions events confirmed:
 - 108 (72%) transmissions linked by virus sequencing
 - 40 (26%) transmissions unlinked
 - 3 (2%) unable to link



Partners in Prevention study results

- Acyclovir did not reduce HIV-1 transmission (HR=0.92 [95%CI 0.6-1.41]) despite significant reduction of
 - 73% in HSV-2+ genital ulcer disease (GUD)
 - 0.25 \log_{10} plasma and $\sim 0.3 \log_{10}$ genital HIV-1 levels

(Celum et al., IAS, Cape Town 2009)

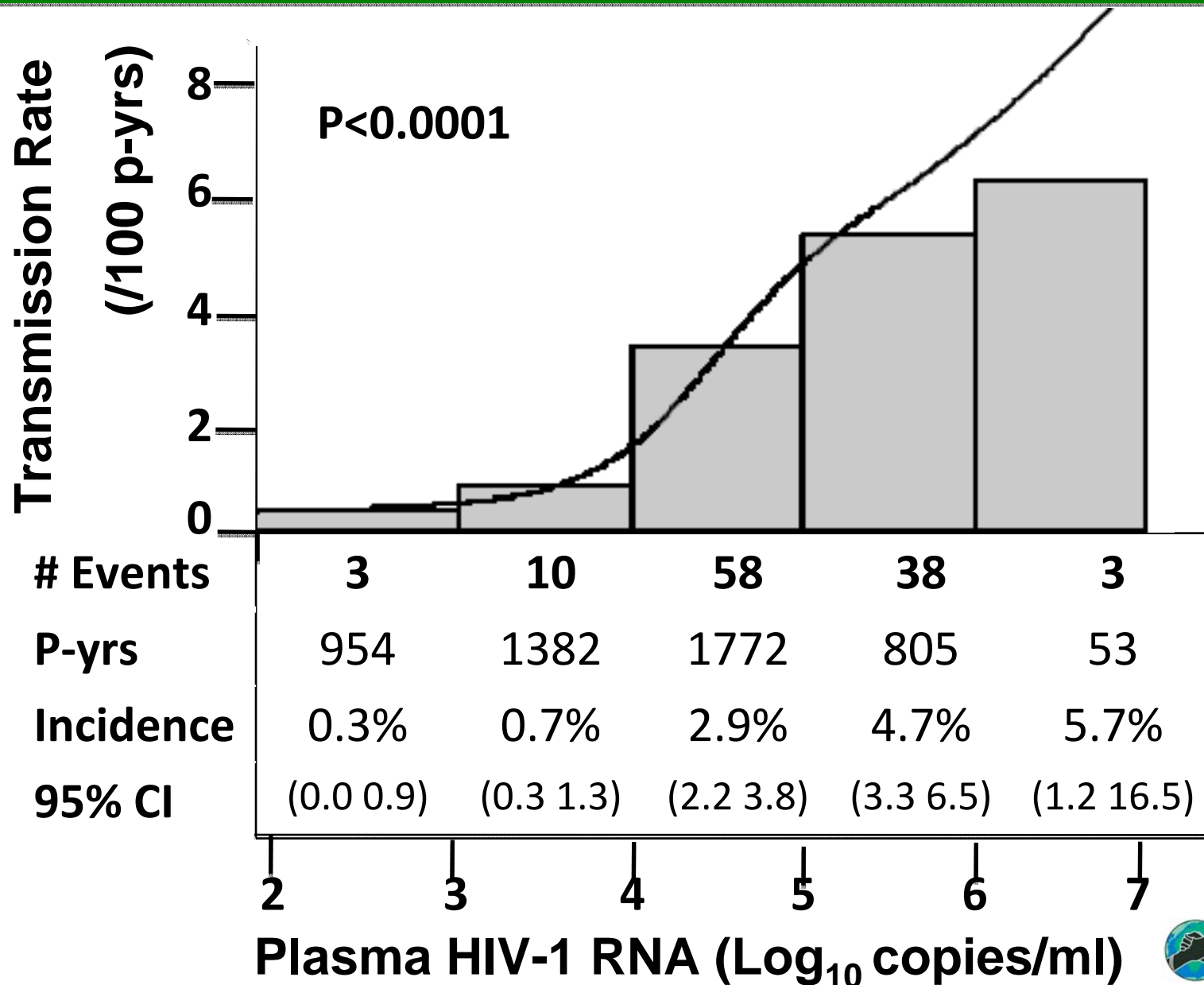


Modeling methods

- Examine the relationship of HIV-1 plasma RNA to risk of (linked) seroconversion
- Cox proportionate hazards model
 - Time-varying HIV-1 plasma RNA
 - HIV-1 plasma RNA level and risk of HIV-1 seroconversion modeled to fit a continuous function



HIV-1 RNA and risk of HIV-1 transmission



Impact of HIV-1 plasma RNA reductions on HIV-1 transmission

- Overall transmission rate 2.14/100 p-yrs
 - Compare to Uganda (11.8%) and Zambia (8.6%)
- Based on modeling we predict that
 - 0.75 log₁₀ copies/ml reduction in plasma HIV-1 RNA would be needed for 50% reduction in HIV-1 transmission



Additional cofactors

- Assess effect of adding previously identified HIV-1 transmission cofactors to this model?
- **RR** – Relative risk of HIV-1 transmission when factor is present compared to when factor is absent
- **p-main effect** –significance of change in HIV-1 transmission with vs. without cofactor after adjusting for HIV-1 RNA level
- **Interaction** with HIV-1 plasma RNA tested for each cofactors and found not significant for any



Additional cofactors assessed

Co-factor	RR	p-main effect
HIV-1 + male	1.39	0.09
HIV-1 susceptible HSV-2+	1.63	0.04
Male HIV-1 susceptible circumcised	0.53	0.02
HIV-1 susceptible unprotected sex	2.12	0.001
HSV-2 suppression (acyclovir/placebo)	1.04	0.84
Male HIV-1+ circumcised	0.65	0.19
* ARV initiated in HIV-1+	0.31	0.26
* GUD in HIV-1 susceptible (prior 3 mo)	1.19	0.80
* GUD in HIV-1 + (prior 3 mo)	0.93	0.85

*

and n=1, respectively), so values have high uncertainty

Key conclusions

- Overall HIV-1 transmission rate was lower in this study compared to Ugandan or Zambian cohorts
 - likely due to intensive counseling with high condom use
- Relationship of HIV-1 plasma RNA and HIV-1 transmission was non-linear
- Reduction in HIV-1 transmission by 50% required 0.75 \log_{10} copies/ml reduction in HIV-1 plasma RNA
- Co-factors affect HIV-1 transmission in this model without interaction with HIV-1 plasma RNA



Key limitation

- Relationship of HIV plasma RNA and HIV-1 transmission evaluated in highly selected population of HIV serodiscordant couples
 - Intensive counseling over 24 months
 - Unprotected sex decreased and sex with outside partners increased during follow-up



Implications

- Future HIV-1 prevention interventions seeking to lower HIV-1 heterosexual transmission by reducing plasma HIV levels could use the $0.75 \log_{10}$ copies/ml reduction as a minimum target.
- This could be affected by prevalence of HSV-2 and male circumcision in the susceptible partner and frequency of unprotected sex in the target population
- New studies of non-ARV interventions that can effect such large reductions in HIV-1 plasma RNA are needed.



Acknowledgments

Dedication and efforts of couples who participated

Site Investigators

Botswana, Gaborone: Joe Makhema , Max Essex

Kenya, Nairobi: James Kiarie, Carey Farquhar, Grace John-Stewart; **Kisumu:** Elizabeth Bukusi, Craig Cohen; **Eldoret:** Edwin Were, Ken Fife; **Thika:** Nelly Mugo

Rwanda, Kigali: KayitesiKayitenkore, Etienne Karita, Susan Allen

South Africa, Cape Town: David Coetzee; **Orange Farm:** Sinead Delaney, Helen Reese; **Soweto:** Glenda Gray, Guy DeBruyn, James McIntyre

Tanzania, Moshi: Rachel Manongi, SaidiKapiga

Uganda, Kampala: Allan Ronald, EllyKatabira

Zambia, Lusaka, Ndola & Kitwe: MumbianaInambao, William Kanweka, BellingtonVlawika, Susan Allen

Coordinating Center at University of Washington

Laboratories & Data Management

Site Laboratory Oversight: Wendy Stevens, Clinical Lab Services (Univ of Witswatersrand)

Retrovirology Labs: Bob Coombs, Larry Corey (UW)

HSV-2 Virology Lab: Rhoda Morrow, Larry Corey (UW)

HIV Sequencing Lab: Jim Mullins, Mary Campbell (UW)

Data Management Contractor: DF/Net

DSMB: Rich Whitley, Chair

Funder: Bill & Melinda Gates Foundation, Renee Ridzon



UNIVERSITY OF WASHINGTON
INTERNATIONAL CLINICAL RESEARCH CENTER
PARTNERS IN PREVENTION